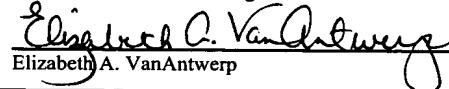


NEW APPLICATION TRANSMITTAL

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450** on **September 24, 2003** under "EXPRESS MAIL" mailing label number **EL 989118246 US**.


Elizabeth A. VanAntwerp

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith for filing is the patent application of:

Inventor 1: Shinsuke NIIYAMA

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Inventor 3: Hiroki ITAKURA

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Title: OPTICAL FIBER ARRAY AND SUBSTRATE FOR THE OPTICAL FIBER ARRAY

1. Papers enclosed

A. Required (in Japanese) for filing date under 37 CFR 1.53(b) (Regular) are:

22 Page(s) of Specification
5 Page(s) of Claims
1 Page(s) of Abstract
7 Sheets of Formal Drawings (Figs. 1A-12)

B. Other Papers Enclosed

- A Patent Application Bibliographic Data Sheet
- A Preliminary Amendment
- An Information Disclosure Statement
- PTO Form-1449

03945 U.S. PTO
10/670136

09/24/03

2. Additional Papers to Follow Under Separate Cover

- A combined Declaration and Power of Attorney
- A Submission of Certified Copies of Priority Documents and certified copies of:

| <u>Country</u> | <u>Application Number</u> | <u>Filing Date</u> |
|----------------|---------------------------|--------------------|
| Japan | 2002-278308 | September 25, 2002 |
| Japan | 2002-278386 | September 25, 2002 |

An Assignment Transmittal and Assignment of the invention to:

Sumitomo Electric Industries, Ltd.
5-33, Kitahama 4-Chome, Chuo-Ku
Osaka-Shi, Osaka-Fu 541-0041
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and

Tokai Rubber Industries, Ltd.
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3. The correspondence address for this application is: Customer No. 025191

Telephone: (315) 233-8300 Electronic Mail: lvanantwerp@burrandbrown.com
Facsimile: (315) 233-8320

4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

- Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.
- Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

5. The filing fee has been calculated as shown below:

| Basic Filing fee (37 CFR §1.16(a)) | | | | \$ 750.00 |
|--|--------------|--------------|------------|-------------|
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | |
| Total Claims | 17 - 20 = | 0 | × \$ 18.00 | |
| Independent Claims | 6 - 3 = | 3 | × \$ 84.00 | \$ 252.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | + \$280.00 |
| Surcharge fees (37 C.F.R. 1.16(e)--\$130.00) | | | | \$ 130.00 |
| [<input checked="" type="checkbox"/> late payment of filing fee and/or [<input checked="" type="checkbox"/> late filing of original declaration or oath | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1,132.00 |
| [<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | |
| SUBTOTAL = | | | | \$ 1,132.00 |
| [<input checked="" type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d)--\$130.00) | | | | \$ 130.00 |
| [<input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h)--\$40.00) | | | | \$ 40.00 |
| TOTAL FEES DUE = | | | | \$ 1,302.00 |

6. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

- [] A check in the amount of _____ is enclosed.
- [] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- [] Any additional filing fees required under 37 CFR 1.16.
- [] Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
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September 24, 2003
Date

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